

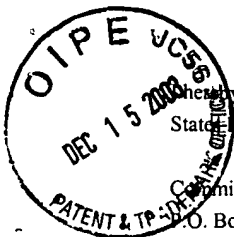


<b>TRANSMITTAL FORM</b> <i>(to be used for all correspondence after initial filing)</i>		Application Number	10/665,973
		Filing Date	September 18, 2003
		First Named Inventor	TRAN, QUANG
		Art Unit	Unassigned
		Examiner Name	Unassigned
Total Number of Pages in This Submission		Attorney Docket Number	016355-004600US

ENCLOSURES (Check all that apply)		
<input type="checkbox"/> Fee Transmittal Form <input type="checkbox"/> Fee Attached <input type="checkbox"/> Amendment/Reply <input type="checkbox"/> After Final <input type="checkbox"/> Affidavits/declaration(s)  <input type="checkbox"/> Extension of Time Request  <input type="checkbox"/> Express Abandonment Request <input checked="" type="checkbox"/> Information Disclosure Statement <input type="checkbox"/> Certified Copy of Priority Document(s)  <input type="checkbox"/> Response to Missing Parts/ Incomplete Application <input type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53	<input type="checkbox"/> Drawing(s) <input type="checkbox"/> Licensing-related Papers <input type="checkbox"/> Petition <input type="checkbox"/> Petition to Convert to a Provisional Application <input type="checkbox"/> Power of Attorney, Revocation Change of Correspondence Address <input type="checkbox"/> Terminal Disclaimer  <input type="checkbox"/> Request for Refund <input type="checkbox"/> CD, Number of CD(s)	<input type="checkbox"/> After Allowance Communication to Group <input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences <input type="checkbox"/> Appeal Communication to Group (Appeal Notice, Brief, Reply Brief) <input type="checkbox"/> Proprietary Information <input type="checkbox"/> Status Letter <input checked="" type="checkbox"/> Other Enclosure(s) <i>(please identify below):</i>  Return Postcard PTO/SB/08A & PTO/SB/08B 31 Reference Copies
Remarks		The Commissioner is authorized to charge any additional fees to Deposit Account 20-1430.

SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT		
Firm or Individual	Townsend and Townsend and Crew LLP Scott M. Smith Reg. No. 48,268	
Signature		
Date	December 11, 2003	

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Signature		Date	December 11, 2003



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PATENT  
Attorney Docket No.: 016355-004600US

On 12-11-03

TOWNSEND and TOWNSEND and CREW LLP

By: Edward Masinas

Edward Masinas

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In re application of:

Quang Tran  
Mimi Nguyen

Application No.: 10/665,973

Filed: September 18, 2003

For: CATHETER FOR  
INTRA Fallopiian CONTRACEPTIVE  
DELIVERY

Examiner: Unassigned

Art Unit: Unassigned

INFORMATION DISCLOSURE  
STATEMENT UNDER 37 CFR §1.97 and  
§1.98

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Sir:

The references cited on attached form PTO/SB/08A and PTO/SB/08B are being called to the attention of the Examiner. Copies of the Foreign Patents and Non Patent Literature are enclosed. It is respectfully requested that the cited references be expressly considered during the prosecution of this application, and the references be made of record therein and appear among the "references cited" on any patent to issue therefrom.

As provided for by 37 CFR 1.97(g) and (h), no inference should be made that the information and references cited are prior art merely because they are in this statement and no representation is being made that a search has been conducted or that this statement encompasses all the possible relevant information.

Applicant believes that no fee is required for submission of this statement.

However, if a fee is required, the Commissioner is authorized to deduct such fee from the undersigned's Deposit Account No. 20-1430. Please deduct any additional fees from, or credit any overpayment to, the above-noted Deposit Account.

Respectfully submitted,



Scott M. Smith  
Reg. No. 48,268

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60095966 v1



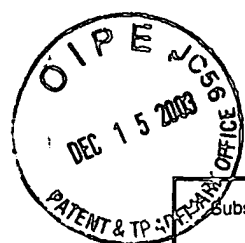
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Sheet <b>2</b>	of <b>4</b>	Attorney Docket Number	016355-004600US	

U.S. PATENT DOCUMENTS <sup>+</sup>					
Examiner Initials <sup>*</sup>	Cite No. <sup>1</sup>	Document Number	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number Kind Code <sup>2</sup> (if known)			
	42	5,458,636	10-17-1995	Brancato	
	43	5,474,089	12-12-1995	Waynant	
	44	5,499,995	03-19-1996	Teirstein	
	45	5,514,176	05-07-1996	Bosley, Jr.	
	46	5,522,822	06-04-1996	Phelps et al.	
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	48	5,562,641	10-08-1996	Flomemblit et al.	
	49	5,582,619	12-10-1996	Ken	
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	51	5,755,773	05-26-1998	Evans et al.	
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	53	5,935,137	08-10-1999	Saadat et al.	
	54	6,096,052	08-01-2000	Callister et al.	
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	58	6,432,116	08-13-2002	Callister et al.	
	59	6,526,979	03-04-2003	Nikolchev et al.	

FOREIGN PATENT DOCUMENTS								
Examiner Initials*	Cite No. <sup>1</sup>	Foreign Patent Document			Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T <sup>6</sup>
		Country Code <sup>3</sup>	Number <sup>4</sup>	Kind Code <sup>5</sup> (if known)				
	60	CN	1047447A		05-12-1990			<input checked="" type="checkbox"/>
	61	DE	28 03 685		08-02-1979			<input checked="" type="checkbox"/>
	62	EP	0 010 812		05-14-1980			<input type="checkbox"/>
	63	EP	0 891 757		01-20-1999			<input type="checkbox"/>
	64	GB	2 038 186		07-23-1980			<input type="checkbox"/>
	65	GB	2 221 095		06-28-1989			<input type="checkbox"/>
	66	NL	7810696		04-29-1990			<input type="checkbox"/>
	67	WO	93/06884		04-15-1993			<input type="checkbox"/>
	68	WO	94/06503		03-31-1994			<input type="checkbox"/>
	69	WO	94/10936		05-26-1994			<input type="checkbox"/>
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	71	WO	96/40023		12-19-1996			<input type="checkbox"/>
	72	WO	96/40024		12-19-1996			<input type="checkbox"/>
	73	WO	97/08997		03-13-1997			<input type="checkbox"/>

Examiner Signature		Date Considered	
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<sup>\*</sup>EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. <sup>1</sup> Applicant's unique citation designation number (optional). <sup>2</sup> Kind Codes of U.S. Patent Documents at [www.uspto.gov](http://www.uspto.gov) or MPEP 901.04. <sup>3</sup> Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). <sup>4</sup> For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>5</sup> Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. <sup>6</sup> Applicant is to place a check mark here if English language Translation is attached.



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U.S. PATENT DOCUMENTS+					
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	1	RE 29,345	08-09-1977	Erb	
	2	3,561,438	02-09-1971	Canel	
	3	3,687,129	08-29-1972	Nuwayser	
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	5	3,805,767	04-23-1974	Erb	
	6	3,858,571	01-07-1975	Rudolph	
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	9	4,057,063	11-08-1977	Gieles et al.	
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	11	4,185,618	01-29-1980	Corey	
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	26	4,932,421	06-12-1990	Kaali et al.	
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	30	5,176,692	01-05-1993	Wilk et al.	
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	32	5,226,911	07-13-1993	Chee et al.	
	33	5,234,437	08-10-1993	Sepetka	
	34	5,250,071	10-05-1993	Palermo	
	35	5,261,916	11-16-1993	Engelson	
	36	5,304,194	04-19-1994	Chee et al.	
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	38	5,312,415	05-17-1994	Palermo	
	39	5,354,295	10-11-1994	Guglielmi et al.	
	40	5,354,309	10-11-1994	Schnepp-Pesch et al.	
	41	5,382,259	01-17-1995	Phelps et al.	
Examiner Signature		Date Considered			

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. <sup>1</sup> Applicant's unique citation designation number (optional). <sup>2</sup> Kind Codes of U.S. Patent Documents at [www.uspto.gov](http://www.uspto.gov) or MPEP 901.04. <sup>3</sup> Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). <sup>4</sup> For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>5</sup> Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. <sup>6</sup> Applicant is to place a check mark here if English language Translation is attached.  
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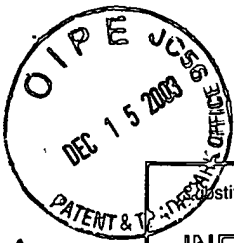


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		Country Code <sup>3</sup>	Number <sup>4</sup> <small>Kind Code* (if known)</small>				
	74	WO	98/26737	06-25-1998			<input type="checkbox"/>
	75	WO	98/55046	12-10-1998			<input type="checkbox"/>
	76	WO	99/15116	04-01-1999			<input type="checkbox"/>
	77	WO	00/13624	03-16-2000			<input type="checkbox"/>

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			Examiner Name	Unassigned	
Sheet	4	of	4	Attorney Docket Number	016355-004600US

NON PATENT LITERATURE DOCUMENTS			
Examiner Initials *	Cite No. <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T <sup>2</sup>
	78	Brueschke, E.E., et al., "Transcervical tubal occlusion with a steerable hysteroscope: Implantation of devices into extirpated human uteri," Am. J. Obstet. Gynecol., Vol. 127, No. 2, pp. 118-124, 1977.	
	79	Brundin, J., "Transcervical sterilization in the human female by ysteroscopic application of hydrogelic occlusive devices into the intramural parts of the Fallopian tubes: 10 years experience of the P-block," European Journal of Obstetrics & Gynecology and Reporductive Biology, Vol. 39, pp. 41-49, 1991.	
	80	Complete Chinese-to-English translation of Chinese Patent Publication No. CN 1047447A.	
	81	Conceptus Annual Report (1995) pp. 3,5,7,9,13-14, and 22.	
	82	Conceptus Annual Report (1996) pp. x,3,9,and 13-14.	
	83	Erb, R.A. et al., "Hysteroscopic Oviductal Blocking with Formed-in-Place Silicone Rubber Plugs," The Journal of Reproductive Medicine, pp. 65-68, August, 1979.	
	84	Gordon, A.G., et al., Atlas of Gynecologic Endoscopy, Mosby-Wolfe Press, 2nd Edition, 1995 (Title Page and Table of Contents are enclosed herewith).	
	85	Gupta, D.N. et al., "Antifertility Effect of an Intrafallopian Tubal Copper Device," Indian J. Exp. Biol., Vol. 14, pp. 316-319, May, 1976.	
	86	Hamou, J. et al., "Hysteroscopic Reversible Tubal Sterilization," ACTA Europaea Fertilitatis, Vol. 15, No. 2, 1984.	
	87	Reed, T.P. et al., "Tubal Occlusion with Silicone Rubber," The Journal of Reproductive Medicine, pp. 25-28, July, 1980.	
	88	Ross, P.L. et al., "Transcatheter Tubal Sterilization in Rabbits," Investigative Radiology, Vol. 29, No. 5, pp. 570-573, 1994.	
	89	Sciarra, J.J., et al., eds., Advances in Female Sterilization Techniques, Harper & Row, Publishers, 1976, Title Page and Table of Contents are enclosed herewith, pp. 169-181, 186-189.	
	90	Steptoe, P.C., "The Potential Use of Intratubal Stents for Reversible Sterilization," Laaroscopy, pges. 91-99, circa 1976.	

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